

Express Mail Label No. EV 125372266 US  
PATENTS  
Attorney Docket No. C014CIPDIV1/2CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Applicants : Yellin et al.  
Application No. : 10/618,298 Confirmation No. : 6908  
Filed : July 11, 2003  
For : Therapeutic Applications for the Anti-  
T-BAM(CD40L) Monoclonal Antibody 5c8  
Group Art Unit : 1645  
Examiner : Not Yet Assigned

New York, New York 10020  
January 18, 2005

Mail Stop Missing Parts  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] A Response; [X] A Copy of a Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures; [X] A copy of the paper copy of the Sequence Listing filed in application no. 08/637,323; [X] A Copy of a Letter of Transmittal filed in application no. 08/637,323 on April 22, 1996; [X] A Copy of A Statement of Compliance under 37 C.F.R. § 1.821(f) filed in application no. 08/637,323 on April 22, 1996; [X] Postcard; [X] Express Mail Certificate of Mailing; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

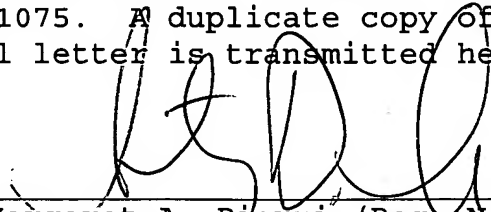
[X] A fee for additional claims is not required.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

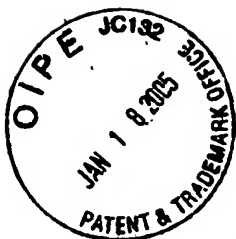
EXTENSION FEE

[ ] The following extension is applicable to the Response filed herewith; [ ] \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [X] \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [ ] \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [ ] \$2,160.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. §1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

  
\_\_\_\_\_  
Margaret A. Pierri (Reg. No. 30,709)  
Stanley D. Liang (Reg. No. 43,753)  
Attorneys for Applicants

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Fax: (212) 596-9090



Attorney Docket No. C014CIPDIV1/2CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

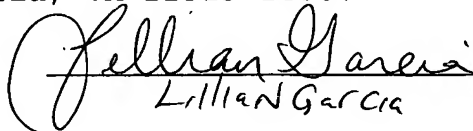
Applicants : Yellin et al.  
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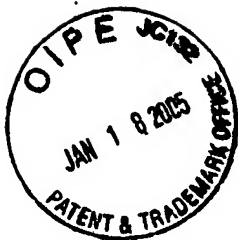
Express Mail mailing label number: EV 125372266 US  
Date of Deposit: January 18, 2005

I hereby certify that this paper/fee and all enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop Missing Parts, the Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Lillian Garcia

Encls: Transmittal Letter (in duplicate): a Response; a Copy of a Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures; a copy of the paper copy of the Sequence Listing filed in application no. 08/637,323; a copy

of a Letter of Transmittal filed in application no.  
08/637,323 on April 22, 1996; copy of A Statement of  
Compliance under 37 C.F.R. § 1.821(f) filed in application  
no. 08/637,323 on April 22, 1996; postcard.



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PATENTS

Attorney Docket No. C014CIPDIV1/2CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Yellin et al.  
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New York, New York 10020  
January 18, 2005

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Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RESPONSE

Sir:

This is in response to the November 16, 2004 Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures ("the Notice"). A copy of the Notice is enclosed. This Response is timely filed on Tuesday, January 18, 2005, because

the due date for responding to the Notice, January 16, 2005, is a Sunday and Monday, January 17, 2005 is a federal Holiday.

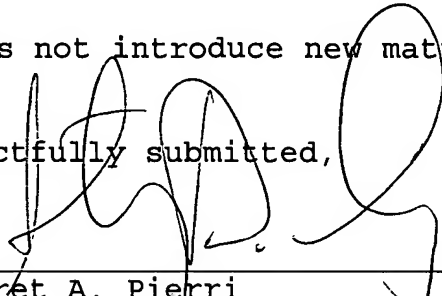
Applicants submitted a paper copy of the Sequence Listing as pages 81-82 of the application on July 11, 2003. That paper copy of the Sequence Listing is identical to the paper copy of the Sequence Listing filed in application no. 08/637,323, from which this application claims priority. The paper copy of the Sequence Listing filed in application no. 08/637,323 is enclosed herewith. A Computer readable form of the paper copy of the Sequence Listing filed in application no. 08/637,323 was filed in application no. 08/637,323 on April 22, 1996, as evidenced by the enclosed Letter of Transmittal filed in application no. 08/637,323 on April 22, 1996. A Statement of Compliance under 37 C.F.R. § 1.821(f), certifying that the paper copy of the Sequence Listing and the computer readable form of the Sequence Listing filed in application no. 08/637,323 on April 22, 1996 are identical, was filed in application no. 08/637,323 on April 22, 1996. A copy of that Statement of Compliance under 37 C.F.R. § 1.821(f) is enclosed herewith.

Applicants request under 37 C.F.R. § 1.821(e) that the Sequence Listing filed in this application be identical to

that filed in application no. 08/637,323 and that the computer readable form filed in application no. 08/637,323 be used in this application in lieu of a new computer readable form of the Sequence Listing.

Applicants' Statement of Compliance under 37 C.F.R. § 1.821(f), certifying that the paper copy of the Sequence Listing and the computer readable form of the Sequence Listing filed in application no. 08/637,323 on April 22, 1996 are identical, is also sufficient to satisfy the requirement of a statement certifying that the paper copy and the computer readable form of the Sequence Listing are identical. The Sequence Listing in this case was filed as part of the application and, therefore, does not introduce new matter.

Respectfully submitted,



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Margaret A. Pierri  
Reg. No. 30,709  
Stanley D. Liang  
Reg. No. 43,753  
Attorneys for Applicants

FISH & NEAVE IP GROUP  
ROPES & GRAY LLP  
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Docket No. 47279-B/JPW/LJK

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

April 22, 1996

S I R:

Transmitted herewith for filing are the specification and claims of the patent application of:

Michael J. Yellin, Seth Lederman, Leonard Chess, Mihail N. Karpusas, David W. Thomas for  
Inventor(s)THERAPEUTIC APPLICATIONS FOR THE ANTI-T-BAM (CD40-L) MONOCLONAL ANTIBODY 5c8

## Title of Invention

Also enclosed are:

X 46 sheet(s) of informal X formal drawings.     Oath or declaration of Applicant(s).     A power of attorney     An assignment of the invention to \_\_\_\_\_     A Preliminary Amendment     A verified statement to establish small entity status under 37 C.F.R.  
§1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY	
Total Claims	101 -20	=	81	X	\$ 11	\$ 22	=	\$	\$ 1,782	
Independent Claims	4 -3	=	1	X	\$ 39	\$ 78	=	\$	\$ 78	
Multiple Dependent Claims Presented: <u>    </u> Yes <u>X</u> No					\$ 125	\$ 250	=	\$	\$ 0	
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE			\$ 375	\$ 750	
					TOTAL FEE			\$	\$ 2,610	



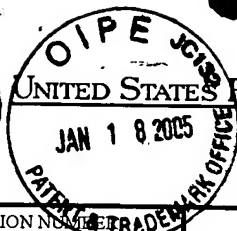
- ☒ A check in the amount of \$ 2,610.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_ Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) diskette containing computer readable copy of Sequence Listing, Statement of Compliance, and an Express Mail Certificate of Mailing bearing label no. EG 115 850 457 US, dated April 22, 1996.

Respectfully submitted,

Lewis J. Kreisler

John P. White  
Registration No. 28,678  
Lewis J. Kreisler  
Registration No. 38,522  
Attorneys for Applicant(s)  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
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01-21-05



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www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/618,298	07/11/2003	Michael J. Yellin	C014CIPD1/2C

CONFIRMATION NO. 6908

**FORMALITIES LETTER**



\*OC000000014376051\*

1473  
FISH & NEAVE LLP  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

Date Mailed: 11/16/2004

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

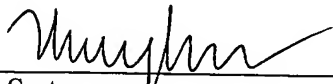
- For Rules Interpretation, call (571) 272-0951
- To Download Patentin Software, visit <http://www.uspto.gov/web/patents/software.htm>
- For Patentin Software Program Help, call (571) 272-2510 or email [mark.spencer@uspto.gov](mailto:mark.spencer@uspto.gov)

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450

Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'Munyer', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE